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NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

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in its capacity as elected Office

Date of mailing (day/month/year) 23 May 2000 (23.05.00)	
International application No. PCT/SE99/01222	Applicant's or agent's file reference 1830-PCT
International filing date (day/month/year) 05 July 1999 (05.07.99)	Priority date (day/month/year) 08 July 1998 (08.07.98)
Applicant HEMMENDORFF, Barbro et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:

08 February 2000 (08.02.00)

☐ in a notice effecting later election filed with the International Bureau on:2. The election ☒ was☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

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REC'D 08 NOV 2000

INTERNATIONAL PRELIMINARY EXAMINATION REPORT PCT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 1830-PCT	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. PCT/SE99/01222	International filing date (day/month/year) 05.07.1999	Priority date (day/month/year) 08.07.1998
International Patent Classification (IPC) or national classification and IPC ⁷ C 07 K 1/113, C 07 K 14/61		
Applicant Pharmacia & Upjohn AB et al		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 4 sheets, including this cover sheet.
- ☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of _____ sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand 08.02.2000	Date of completion of this report 01.11.2000
Name and mailing address of the IPEA/SE Patent- och registreringsverket Box 5055 S-102 42 STOCKHOLM Facsimile No. 08-667 72 88	Authorized officer Hampus Rystedt/ELY Telephone No. 08-782 25 00

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/SE99/01222

I. Basis of the report

1. With regard to the elements of the international application:*

- ☒ the international application as originally filed
- ☐ the description:
pages _____, as originally filed
pages _____, filed with the demand
pages _____, filed with the letter of _____
- ☐ the claims:
pages _____, as originally filed
pages _____, as amended (together with any statement) under article 19
pages _____, filed with the demand
pages _____, filed with the letter of _____
- ☐ the drawings:
pages _____, as originally filed
pages _____, filed with the demand
pages _____, filed with the letter of _____
- ☐ the sequence listing part of the description:
pages _____, as originally filed
pages _____, filed with the demand
pages _____, filed with the letter of _____

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language english which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☒ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages _____
- ☐ the claims, Nos. _____
- ☐ the drawings, sheet/fig _____

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2 (c)).**

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

** Any replacement sheet containing such amendments must be referred to under item I and annexed to this report.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/SE99/01222

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**1. Statement**

Novelty (N)	Claims	_____	YES
	Claims	<u>1-10</u>	NO
Inventive step (IS)	Claims	_____	YES
	Claims	<u>1-10</u>	NO
Industrial applicability (IA)	Claims	<u>1-10</u>	YES
	Claims	_____	NO

2. Citations and explanations (Rule 70.7)

The present application relates to a method for reducing the amount of trisulfide bridges in peptides, preferably recombinant human growth hormone rhGH, by treating the peptide with a metal salt, preferably a potassium or sodium salt.

The following document is considered relevant
D1: WO-A1-9602570

D1 discloses a method for reducing the amount of trisulfide bridges in rhGH by treating the rhGH with a sulfite of alkali or alkaline earth metals at pH 3-11, preferably around 7. The wording of D1 implies that the addition of sulfite converts trisulfide bridges into disulfide bridges, while the wording of the application implies that the addition of a metal salt prevents formation of trisulfide bridges. However, both methods are performed in the same way: recombinantly produced GH is treated with a salt at pH 7. They also amount to the same result: reduced content of trisulfide bridges. The methods are therefore considered to be identical and the method according to claims 1-10 lack novelty in view of D1.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/SE99/01222

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

Claim 1 refer to the use of any metal for reducing the amount of trisulfides in rhGH. The description only provides experimental support for the use of potassium and sodium. It is not considered probable that all metals will be efficient in the described method. Claim 1 is therefore not considered to be fully supported by the description.

The use of the expression "preferably" does not limit the scope of the claims to the preferred embodiment. The wording of claims 6-8 do consequently not provide any further limitations of claim 1-5.

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International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : C07K 1/113, 14/61	A1	(11) International Publication Number: WO 00/02900 (43) International Publication Date: 20 January 2000 (20.01.00)
(21) International Application Number: PCT/SE99/01222 (22) International Filing Date: 5 July 1999 (05.07.99) (30) Priority Data: 9802454-0 8 July 1998 (08.07.98) SE (71) Applicant (for all designated States except US): PHARMACIA & UPJOHN AB [SE/SE]; S-112 87 Stockholm (SE). (72) Inventors; and (75) Inventors/Applicants (for US only): HEMMENDORFF, Barbro [SE/SE]; Drejarvägen 8, S-141 73 Huddinge (SE). CASTAN, Andreas [SE/SE]; Vapengatan 24, S-126 52 Hägersten (SE). PERSSON, Anders [SE/SE]; Kålsängsgränd 6 D, S-753 19 Uppsala (SE). (74) Agents: TANNERFELDT, Agneta et al.; Pharmacia & Upjohn AB, S-112 87 Stockholm (SE).		(81) Designated States: AU, CA, IL, JP, NZ, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>
(54) Title: METHOD FOR THE PRODUCTION OF RECOMBINANT PEPTIDES WITH A LOW AMOUNT OF TRISULFIDES (57) Abstract The invention relates to a method for the production of recombinant peptides with a low amount of trisulfides which is characterized by the addition of a metal salt during or after the fermentation step and to a method for reduction of the amount of trisulfides in the production of recombinant peptides, characterized by the addition of a metal salt during or after fermentation. The peptide is preferably human growth hormone and the salt preferably a potassium or sodium phosphate.		

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Method for the production of recombinant peptides with a low amount of
trisulfides.

5

The invention relates to a method for the production of recombinant peptides with a low amount of trisulfides which is characterized by the addition of a metal salt during or after the fermentation step and to a method for reduction of the amount of trisulfides in the production of recombinant peptides, characterized by the addition
10 of a metal salt during or after fermentation. The peptide is preferably human growth hormone and the salt preferably a potassium or sodium phosphate.

Background

In the recombinant production of peptides, especially in the production of pharmaceuticals,
15 the amount of contamination, such as variants of the wanted protein, should be reduced as much as possible both from economical and therapeutical aspects.

In the recombinant production of peptides, variants with an extra sulfur atom in a disulfide bridge sometimes are found, and the present invention relates to this problem.

Human Growth hormone, hGH, is a protein consisting of a single chain of 191 amino
20 acids. The molecule is cross-linked by two disulfide bridges and the monomeric form has a molecular weight of 22 kDa.

hGH preparations have been prepared from human pituitaries, but nowadays the products on the market are produced by recombinant methods, rhGH.

Two types of therapeutically useful recombinant hGH preparations are present on the
25 market: the authentic one, e.g. Genotropin®, Pharmacia & Upjohn AB, and an analogue with an additional methionine residue at the N-terminal end, e.g. Somatonorm®.

hGH is used to stimulate linear growth in patients with hypo pituitary dwarfism or Turner's syndrome but other indications have also been suggested.

A new variant of human growth hormone, hGH, has been found and reference is given to
30 Pavlu et al, 1993, Bioseparation 3, 257-265. This variant has been identified and characterized, see Andersson et al, 1996, Int. J. Peptide, Protein, Res. 47, 311-321. The

variant, which is formed during the expression of hGH in *Escherichia coli*, is found to be more hydrophobic than rhGH and has been structurally defined as a trisulfide variant of rhGH.

The variant is only formed during synthesis in *E. coli* and has not been found in hGH preparations from human pituitaries.

- 5 This phenomenon of the trisulfides in peptides, produced by recombinant methods, has also been described for recombinant superoxide dismutase (Briggs et al, 1987, *Biochem., Biophys. Acta*, 537, 100-109) and for a mutein of interleukin, (Breton J et al. *J. Chromatogr. A.*, 1995, 709(1), 135-46).

- 10 In WO 94/24127 a method for converting a hydrophobic derivative of a growth hormone into the native form of growth hormone is disclosed. The hydrophobic derivative of the growth hormone comprises an extra sulfur atom. The method is a chemical treatment of the derivative of growth hormone with a mercapto compound. As examples are cysteine, glutathione, 2-mercapto ethanol and dithiothreitol given.

- 15 In WO 96/02570 a method is disclosed comprising the chemical treatment with a sulfite compound for the conversion of the derivative of growth hormone into the native form. Mercapto compounds and sulfite compounds are used in the redox-reaction for the conversion of the already formed growth hormone comprising an extra sulfur atom.

- 20 The invention

We have now found a new method for the reduction of the amount of trisulfides in the production of recombinant peptides, e.g. both proteins and smaller peptides.

- The invention is based on the novel and unexpected finding that the amount of trisulfides in the production of recombinant peptides can be reduced by the addition
25 of a metal salt, preferably in excess, already during or after fermentation and not, as earlier suggested, by conversion of the formed trisulfide of growth hormone into the native form.

- This reduced amount of the derivative is due to inhibition of the activity of H_2S in the medium and the prevention of the formation of the modified growth hormone
30 comprising an extra sulfur atom

The addition can be done directly after fermentation, e.g. after the fermentation has been terminated and the cells are harvested and before further process steps.

The addition can e.g. be done with a buffer including the salt.

The protein can be any recombinant protein but is preferably recombinant growth hormone which can be both human and animal such as human growth hormone (hGH), bovine growth hormone (bGH) and porcine growth hormone (pGH).

The metal salt can be any metal chosen among alkalimetal and earth metal.

pH is preferably equal to or lower than pH 7. More preferable pH is equal to or lower than 6.8 and most preferable pH is equal to or lower than 6.0.

10 The pH regulation can be achieved with a selected buffer including the metal salt.

The metal is preferably alkali, such as sodium or potassium and the salt is preferably sodium or potassium phosphate or acetate.

The concentration of free sulfide ions is minimized by addition of the metal salt in molar excess.

15 The used metal salt is preferably not a sulfite or a mercapto compound.

The attached claims define the invention.

Figure 1 shows the amount of trisulfide-GH in the extracts.

Figure 2 shows the induction and inhibition of trisulfide formation in GH

20

In the examples below a recombinant produced hGH has been produced or used, but the invention as claimed is not limited to this peptide. The trisulfide variant is named trisulfide-GH.

25 EXAMPLES

hGH was produced in *E. Coli* according to known methods. Reference can be given to EP 177343, example 8.

The transformant of *E. Coli* was fermented in the medium, the culture was agitated under aeration and glucose was added. The fermentation was terminated by turning off the glucose and aeration. At this point a reference sample was taken. Thereafter the cells were harvested.

30

For the production of pure hGH, the harvested cells were concentrated, washed, solubilized by freezing, thawed and purified according to known methods.

Example 1. pH variation, lab scale.

The culture was harvested and the cells were concentrated by microfiltration. The pH was 7.3 in the cell concentrate. Four batches of the cell concentrate were taken. In three batches
5 (500 ml) the pH was adjusted to 6.5, 7.0 and 7.8, with HCl or NaOH, respectively. The fourth batch is the non-treated comparison sample. Thereafter the cell concentrates were frozen.

The four batches were thawed and the cell concentrates were diluted twice with a buffer containing 10 mM Tris-HCl and 1 mM Na₂-EDTA pH 8.2. Cell free extracts were obtained
10 by centrifugation.

The amount of trisulfide-GH in the extracts was determined.

The result is shown in Figure 1.

It was found that the amount of trisulfide-GH was highest at pH 7.8 (12%). This could be compared to the fourth batch which was not pH-changed.

15 A pH above 7.0 gave too high amount of trisulfide-GH in this experiment, thus pH should be lower.

Example 2. Pilot scale

The culture was harvested and the cells were concentrated by microfiltration. The pH in the
20 cell concentrate was 7.2. The cell concentrate was divided in two portions (about 30 L). Cell concentrate A was washed with about one volume of water and was thereafter frozen at - 30°C.

Cell concentrate B was washed with about one volume of 0.05 M potassium phosphate buffer, pH 6.6. The pH in cell concentrate B was 6.8. The cell concentrate was thereafter
25 frozen at -30°C.

After thawing, the concentrated cells were extracted by diafiltration with Tris-HCl /EDTA buffer and the amount of trisulfides was determined. The amount of trisulfide-GH was 6% in extract A and about 3 % in extract B, thus the double in A compared to B. This showed that
low pH and the metal salt buffer reduces the amount of the trisulfide variant of growth
30 hormone.

Example 3. Pilot scale

The amount of trisulfides in the reference sample, taken before harvest, was determined.

The culture was harvested and the cells were concentrated by microfiltration. The pH in the cell concentrate was 7.2. The cell concentrate was divided in two portions (about 30 L).

5 Cell concentrate C was washed with about one volume of water and was thereafter frozen at - 30°C.

Cell concentrate D was washed with about one volume of 0.9 % NaCl in water. The pH in that cell concentrate was 7.2. The cell concentrate was thereafter frozen at -30°C.

After thawing, the concentrated cells were extracted by diafiltration with Tris-HCl /EDTA
10 buffer and the amount of trisulfides was determined. The amount of trisulfide-GH was about 5 % in extract C and about 4.8 % in D, thus the same in C and D. The ratio of trisulfide-GH in extract C : reference sample was $5.0 \% : 2.0 \% = 2.5$ and the ratio of trisulfide-GH in extract D : reference sample was $4.7 \% : 2.0 \% = 2.4$

This showed that for a periplasmatic extract not only the addition of a metal salt but also the
15 low pH is of importance.

Example 4. Pilot scale

The amount of trisulfides in the reference sample, taken before harvest, was determined.

The culture was harvested and the cells were concentrated by microfiltration. The pH in the
20 cell concentrate was 7.2. The cell concentrate (E) was washed with about one volume of 0.025 M sodium phosphate buffer pH 6.0, to which 1 ml/L HCl 37 % was added. The pH in cell concentrate E was 5.9. The cell concentrate was thereafter frozen at -30°C.

After thawing the concentrated cells were extracted by diafiltration with Tris-HCl /EDTA buffer and the amount of trisulfides was determined.

25 The ratio of trisulfide-GH in extract E : reference sample was $1.6 \% : 1.4 \% = 1.1$.

This showed that the amount of trisulfide-GH can be reduced by the addition of a metal salt and a low pH.

Example 5. Pilot scale

The amount of trisulfides in the reference sample, taken before harvest, was determined.

The culture was harvested and the cells were concentrated by microfiltration. The pH in the
5 cell concentrate was 7.2. The cell concentrate was divided in two portions (about 30 L).

Cell concentrate F was washed with about one volume of acetate buffer, containing sodium acetate x 3H₂O, 8.03 g/L and acetic acid (100 %) 2.35 ml/L. The pH in cell concentrate F was 5.9. The cell concentrate was thereafter frozen at - 30°C.

Cell concentrate G was washed with about one volume of 0.025 M sodium phosphate buffer
10 pH 6.0, to which 0.5 ml/L concentrated H₂SO₄ was added. The pH in cell concentrate G was 5.9. The cell concentrate was thereafter frozen at -30°C.

After thawing the concentrated cells were extracted by diafiltration with Tris-HCl /EDTA buffer and the amount of trisulfides was determined.

The ratio of trisulfide-GH in extract F : reference sample was 3.4 % : 3.1 % = 1.1 and the
15 ratio of trisulfide-GH in extract G : reference sample was 2.6 % : 3.1 % = 0.8.

This showed that the amount of trisulfide-GH can be reduced by the addition of a metal salt and a low pH.

Example 6. Comparison of buffers and pH.

20 250µl of pure hGH (from the production of Genotropin®) in water (2.436 mg/ml) +
250µl of different 100 mM buffers, see Table 1, were mixed. Saturated H₂S (0.11 M) in distilled water was used immediately after preparation. 50µl of distilled water (control) or H₂S in three different dilutions was added to each sample. (0.5, 0.1 and 0.02 mM H₂S, respectively)

25 The concentration was thereafter 1.11 mg hGH/ml.

These solutions were incubated with the different concentrations of H₂S during 3 hours at room temperature for the preparation of the trisulfide variant of hGH.

After incubation, freezing, thawing and desalting of all samples in 25 mM Tris-HCl at pH 7.6, the amount of trisulfide was analyzed.

The buffers were prepared according to standard tables.

Table 1

Na-phosphate, pH 7.8

5 Na-phosphate, pH 7.0

Na-phosphate, pH 6.5

Na-phosphate, pH 6.0

Na-citrate, pH 6.2

Tris-HCl, pH 7.6

10 Ammonium citrate, pH 6.2

The result is shown in Figure 2.

Ammonium citrate gave no reduction of trisulfides despite the low pH.

Na-phosphate at pH 6.0 gave the best result but also Na-phosphate at higher pH can

15 be used.

This showed that for pure hGH the addition of a metal salt is of importance for the amount of trisulfides.

CLAIMS

5

1. Method for the production of recombinant peptides with a low amount of trisulfides, characterized by the addition of a metal salt during or after the fermentation step.

10

2. Method for the reduction of the amount of trisulfides in the production of recombinant peptides, characterized by the addition of a metal salt during or after fermentation.

15

3. Method according to any of claims 1 to 2 in which the addition is performed directly after fermentation.

4. Method according to any of claims 1 to 3 in which the metal salt is chosen among alkali metals and earth metals.

20

5. Method according to any of claims 1 to 4 in which pH is equal to or lower than pH 7.

25

6. Method according to any of claims 1 to 5 in which the metal preferably is potassium or sodium.

7. Method according to claim 6 in which the salt preferably is potassium- or sodium phosphate or acetate.

30

8. Method according to any of claims 1 to 7 in which the peptide preferably is growth hormone and more preferably human growth hormone.

9. Use of a metal salt in the production of recombinant peptides during or after the fermentation step for the reduction of the amount of trisulfides in the recombinant product.

5

10. Use of metal salt for the reduction of the amount of trisulfides in the production of recombinant peptides by the addition of a metal salt during or after fermentation

10

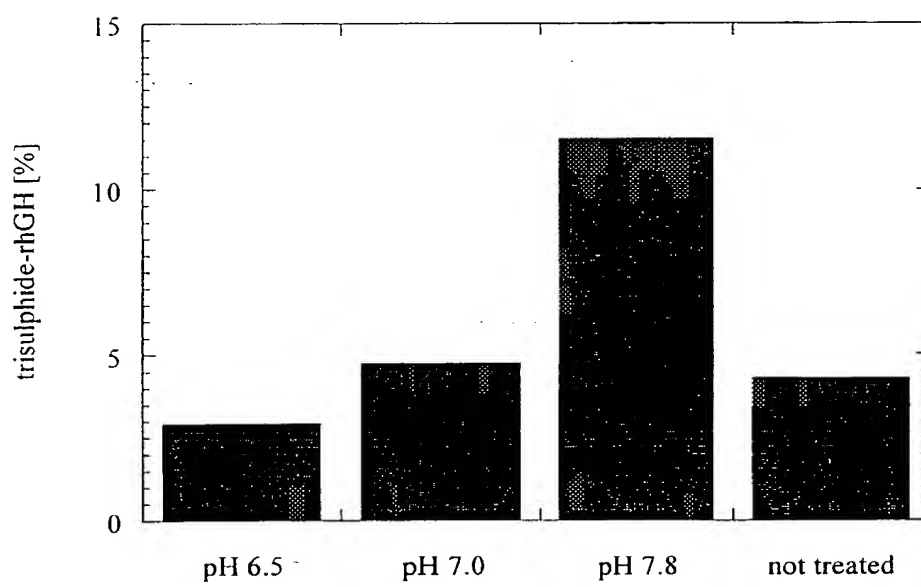


Figure 1

INDUCTION AND INHIBITION OF TRISULFIDE FORMATION IN GH

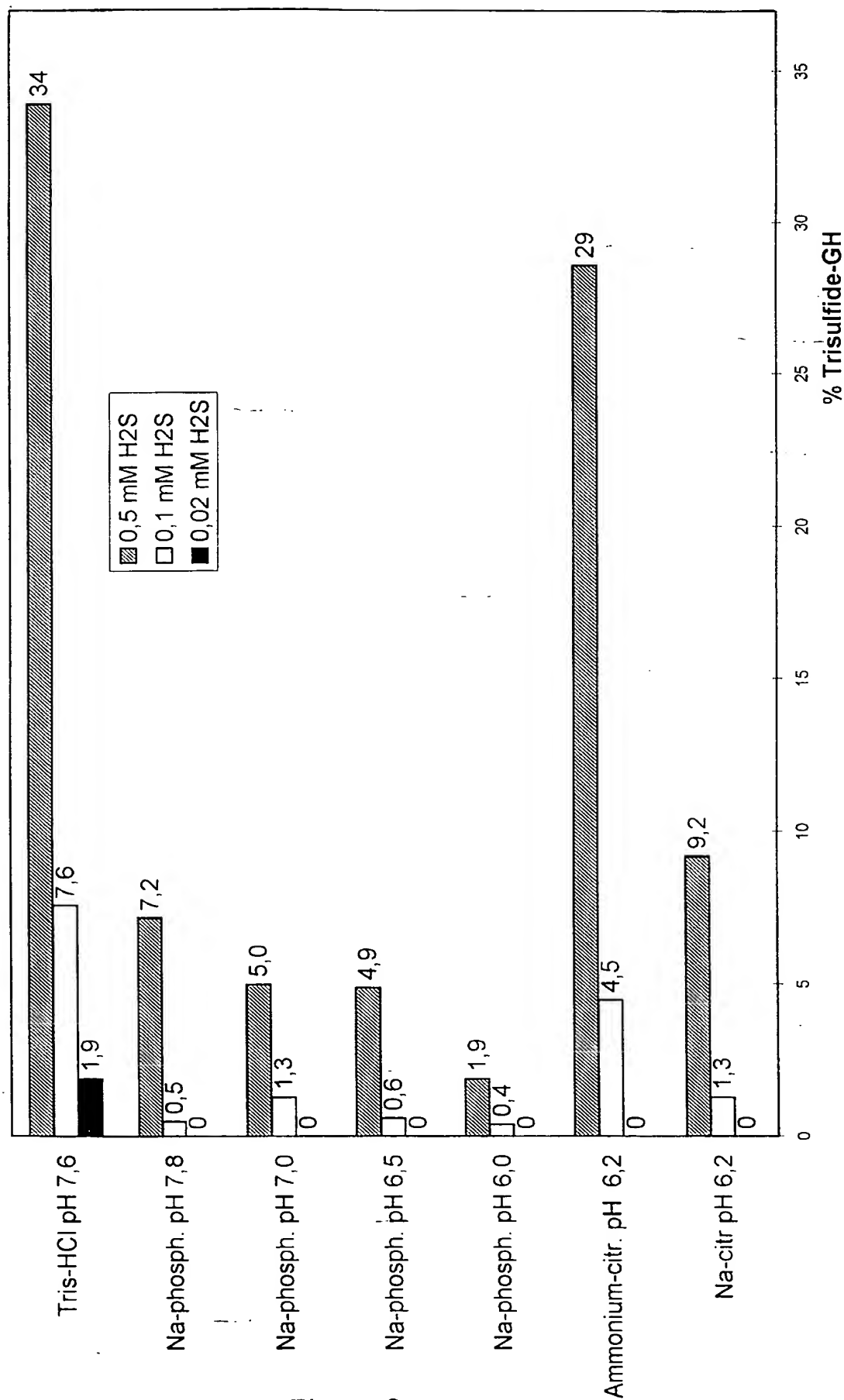


Figure 2

1
INTERNATIONAL SEARCH REPORT

International application No.
PCT/SE 99/01222

A. CLASSIFICATION OF SUBJECT MATTER				
IPC6: C07K 1/113, C07K 14/61 According to International Patent Classification (IPC) or to both national classification and IPC				
B. FIELDS SEARCHED				
Minimum documentation searched (classification system followed by classification symbols)				
IPC6: C07K				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched				
SE,DK,FI,NO classes as above				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)				
C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
X	WO 9602570 A1 (NOVO NORDISK A/S), 1 February 1996 (01.02.96), see page 3, line 28 - page 5, line 17; claims <div style="text-align: center;">--</div>	1-10		
A	WO 9506064 A1 (GENENTECH, INC.), 2 March 1995 (02.03.95), see page 8, line 15 - page 9, line 16; claim 22 <div style="text-align: center;">--</div>	1-10		
A	WO 9424157 A1 (NOVO NORDISK A/S), 27 October 1994 (27.10.94), claims <div style="text-align: center;">-- -----</div>	1-10		
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.				
<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top; border: none;"> * Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed </td> <td style="width: 50%; vertical-align: top; border: none;"> "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family </td> </tr> </table>			* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family
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Date of the actual completion of the international search		Date of mailing of the international search report		
22 Sept 1999		30 -10- 1999		
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